

# Emmaus Investor Services Corp.

"Coaching You Can Count On ....."

## PRIVACY NOTICE

As required by the Gramm-Leach-Bliley Act (GLBA), that was signed into law on November 12, 1999 and took effect on November 13, 2000, the following states Emmaus Investor Service's position on the handling of **Non-Public Personal Information (NPI)**.

**"Non-Public Personal Information"** is defined as any personal information that cannot be found in public sources. Publicly available information would be details available from federal, state, or local government records; widely distributed media (such as telephone directories or newspapers); or information disclosed to the public as required by federal, state, or local law. NPI is usually obtained directly from the individual. It includes such details as the person's date of birth, social security number, financial account numbers and balances. Sources and amounts of income, credit card numbers, and information obtained about visitors to our internet web site. NPI may sometimes include home addresses and telephone numbers.

Emmaus Investor Services (EIS) has a continuing obligation to protect the security and confidentiality of your **Non-public Personal Information (NPI)**. Access to your **Non-public Personal Information** at EIS, is restricted to those employees who need to know that information in order to provide products or services to you. We maintain physical electronic and procedural safeguards to comply with federal standards to guard your **Non-public Personal Information**.

EIS collects financial information from you only for the purpose of assuring that any financial or investment advice we provide to you is suitable. We do not share any **Non-public Personal Information** with any non affiliated 3<sup>rd</sup> parties. EIS does not disclose, and does not wish to reserve the right to disclose **Non-public Personal Information** to non-affiliated 3<sup>rd</sup> parties.

**Client Signature:** \_\_\_\_\_ **Date:** \_\_\_\_\_